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INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

To Commissioner For Patents

Enclosed herewith is a Form PTO-1449, required copies of documents listed thereon, and a concise explanation of their relevance is described below or enclosed herewith per 37 CFR 1.97.

Application Number

Filing Date

CONCURRENTLY

First Named Inventor

SRINIVAS GUTTA

Group Art Unit

Examiner Name

Attorney Docket Number

US020038

11966 U.S. PTO
10/066453
01/31/02

These documents may be relevant in that they have been:

☐ considered in drafting the specification of the above-referenced application;

☒ cited in the specification of the above-referenced application;

☐ previously submitted or cited in U.S. patent application(s) which are relied on for an earlier effective filing date under 35 U.S.C. 120 (no copy required); or

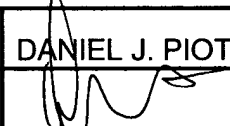
☐ cited as an "X" or "Y" document in a foreign Patent Office search report on a foreign counterpart application, a copy of which report is also enclosed;

☐ I hereby certify that these documents were first cited in any communication with a foreign Patent Office for a counterpart foreign application not more than three (3) months ago;

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print Type)	DANIEL J. PIOTROWSKI	Registration No. (Attorney/Agent)	42,079
Signature		Date	1/31/02

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV. 7-80) PATENT AND TRADEMARK OFFICE										Atty. Docket No. US020038		Serial No.	
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)										Applicant SRINIVAS GUTTA ET AL			
										Filing Date CONCURRENTLY		Group	

J1046 U.S. PTO
 10/066453
 01/31/02

U.S. PATENT DOCUMENTS														
Ex. Int.	AA	AB	AC	AD	AE	AF	Document Number	Date	Name	Class	Sub-class	Filing Date If Approp.		
							5 5 3 4 9 1 1	7/1996	LEVITAN	349	1			
							5 7 5 8 2 5 7	5/1998	HERZ ET AL	455	2			

FOREIGN PATENT DOCUMENTS														
AG	AH	Document Number	Date	Country	Class	Sub-class	Trans.							
		0 7 5 5 1 5 4 A 2	1/1997	EUROPE	H04N	7/167	Yes	No						

OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)

AI	S. Gutta, "Method and Apparatus for Recommending Television Programming Using Decision Trees", Serial No. 09/466,406, Filed December 17, 1999, Attorney Docket No. PHA 23,902.
AJ	J. David Schaffer, "Three-Way Media Recommendation Method and System", Serial No. 09/627,139, Filed July 27, 2000, Attorney Docket No. US000179
AK	K. Kurapati et al., "Method and Apparatus for Generating Recommendation Scores Using Implicit and Explicit Viewing Preferences", Serial No. 09/666,401, Filed September 20, 2000, Attorney Docket No. US000239
	J. David Schaffer, "Adaptive TV Program Recommender", Serial No. 09/498,271, Filed February 4, 2000, Attorney Docket No. US000018

Examiner	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP Draw line through citation if not in conformance and not considered. Include a copy this form with next communication to applicant.